# **CLASS: CORRECTIONAL CAPTAIN**

NOIE. Each	position within this classification may perform some or all of these tasks.
	Ensure escape prevention procedures are followed and promote security awareness
1.	through training, audits and accountability in accordance with the Penal Code,
	Title 15, DOM and OPs.
2	Oversees the receiving and releasing of inmates from institutions/camps in
2.	accordance with, Receiving and Release procedures, DOM and OPs.
3.	Oversee and evaluates information for use in determining housing arrangements
3.	for arriving inmates pursuant to operational procedures.
<u>4.</u> 5.	Ensures the coordination and completion of emergency and routine inmate counts.
5.	Recognize and prevent potential inmate disruptive situations
6.	Ensure that inmates are identified by photo identification utilizing the gate pass
	system.
7.	Ensure staff has on their person, required safety equipment.
8.	Ensure staff monitor emergency alarm systems, (i.e., fire, medical, code, etc.) and
	staff responds as necessary.
9.	Oversees the approval and processing of inmate visitors.
10.	Oversees all activities in inmate visiting locations.
11.	Oversees the accountability of keys and proper functioning of locking devices and
	security/restraint equipment.  Identifies enemy factors and compatibility needs when Administrative Segregation
12.	inmates are being transferred to/from Receiving and Release in groups for either
12.	intake or departure.
13.	Oversees safety and security inspections/audits of the institution.
14.	Ensures staff conducts random searches of inmates.
- "	Ensures appropriate number of officers is armed and staff/vehicles are equipped
15.	with appropriate equipment and supplies when transporting inmates off grounds,
	per policy.
17	Ensures that all procedures are adhered to regarding appropriate documentation
16.	being completed/verified prior to an inmate's arrival/departure.
17	Supervises the application of the key and tool control plan, including completing
17.	audits and documentation or tool control practices to ensure safety and security.
18.	Identify and/or monitor prison gang/disruptive group members and associates.
19.	Ensures compliance with policies regarding special program placement inmates
17.	(close custody etc.).
20.	Ensures transportation staff are knowledgeable of transport vehicle emergency
20.	procedures.
21.	Oversees electric fence operation ensuring that established security and safety
	procedures are followed.

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	Ensures frequent inspections of weapons qualification/certification cards (CDC
22.	Form 861) are conducted by supervisory staff, and only qualified staff are
	assigned to armed posts.
23.	Ensures staff use and maintain weapons in accordance with safety and security
23.	procedures.
24.	Oversees staff in conducting searches of inmate cells, dormitories, shops,
<b>47.</b>	recreation yards, grounds, and any other areas.
25.	Oversees the implementation of inmate activities and ensure availability of staff to
20.	provide adequate security.
26.	Oversees that contact with transportation teams is maintained to ensure ETAs,
	address issues, etc.
27.	Ensures that security risk of inmates being transported is discussed with
	transportation team supervisors.
28.	Ensures adherence to conditions of confinement in segregated housing units in
	compliance with policies and procedures.
29.	Oversees the proper storage and reporting of hazardous materials in accordance
30.	with State procedures and Federal guidelines.  Oversees the accountability of inmates not appearing for scheduled activities.
30.	Ensures a count is conducted of inmates aboard transport vehicles entering/exiting
31.	the institution.
	Ensures subordinate staff have safety equipment when transporting inmates with
32.	communicable diseases.
33.	Ensures that random and mandatory urine testing is conducted as needed.
	Ensures transportation teams carry appropriate equipment and supplies, i.e., gas
34.	credit cards, sack lunches, proper transportation documents,
35.	Assists in the preparation of sensitive transport details.
	Oversees that all Personal Protective Equipment (PPE) kits and cleanup kits are
36.	inventoried, complete, and readily accessible.
37.	Ensure Control generated inmate housing records are accurate and complete.
38.	Ensures that inmate movement is properly supervised and completed per policy
36.	and procedures.
39.	Oversees, assesses and evaluates the racial and numerical balance of inmate work
39.	sites.
40.	Recommends and oversees contraband security watch.
41.	Ensure compliance with exposure control procedures i.e., decontamination, needle
71.	sticks, hazardous materials, etc.
42.	Oversees and implements a modified activity schedule due to inclement weather
-T#+	conditions when appropriate.

### **CLASS: CORRECTIONAL CAPTAIN**

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42	Ensure quarterly security audits are conducted and results forwarded to Chief	
43.	Deputy Warden/Warden.	
44.	Participate in safety committee meetings.	
USE OF FORCE (2)		
45.	45. Instructs and/or evaluates staff's use of force.	
46.	<b>46.</b> Review/critique use of force reports.	
47	Oversee calculated or emergency cell or area extractions of inmates per	
47.	departmental procedures.	
48.	Participate as a member of the Executive Use of Force Review Committee.	
TRAINING (3)		
49.	Ensures that all apprentices receive the appropriate training, direction and hours in	
49.	work process categories.	
50.	Participates as a trainer in departmental training programs, i.e., the orientation of	
50.	all new institution employees.	
51.	Ensures subordinate staff are trained in the use of equipment, i.e., keys, side	
31.	handle baton, pepper spray, etc.	
52.	Assesses training needs, performs and documents on-the-job training as needed to	
32.	help staff achieve job-related functions and communicated expectations.	
53.	Monitor that staff meet mandated training requirements and recommend corrective	
33.	action.	
54.	Oversee medical emergency response drills with staff.	
55.	Ensures quarterly fire drills and emergency drills are conducted per established	
33.	procedures.	
56.	Ensure staff are cross-trained.	

57.	Provides staff with recommendations for training and development to meet performance expectations and personal and professional goals.		
58.	Ensures staff is trained in procedures for handling and processing evidence/materials.		
	POLICY AND PROCEDURES (4)		
59.	Monitor coverage of vacant posts and ensures coverage as needed to fulfill operational needs.		
60.	Audits and approves correctional lieutenant FLSA time sheets daily, checking for correct codes and hours worked. Ensure the lieutenant checks the subordinate staff time sheets daily for correct entries.		

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	Remain current with facility and departmental rules, procedures, regulations,
61.	administrative bulletins, memorandum, post orders, mandated training and other
	formal written materials for updated information and direction.
62.	Ensure compliance with sexual harassment prevention program.
63.	Assists in the preparation of sensitive transport details.
64.	Assist with the implementation of new departmental directives, policies and
04.	procedures.
65.	Prepares correspondence, inter-departmental memorandums, procedures, and other
	administrative paperwork.
66.	Ensure compliance with equal employment opportunity program objectives.
67.	Ensures staff adheres to uniform and grooming standards.
	Remain current with bargaining units' memorandums of understanding and other
68.	employee relation documents to ensure compliance with sick leave committee
	directives.
69.	Participate in the sick leave review process and ensure compliance with sick leave
	committee directives.
70.	Ensures proper processing and preservation of legal evidence.
<b>7</b> 1	Ensures post orders are updated, complete, work site accessible and post order
71.	acknowledgment is signed by subordinate staff and retained pursuant to record
72.	retention requirements.  Develops and implements institutional procedures.
12.	Manages staff and fiscal resources in accordance with departmental and
73.	institutional goals, objectives and priorities.
74.	Recommends new policies and procedures.
	Oversees and directs the update of operational procedure/DOM Supplements at
<i>75</i> .	least annually.
	Ensures that all custodial/specified staff returning from extended leave or light duty
<b>76.</b>	have been cleared by the Return to Work Coordinator
77.	Refer employees for fitness for duty evaluation when appropriate.
70	Prepare/review responses to employee grievances ensuring compliance with laws,
78.	policies and procedures.
	REPORTING PROCEDURES (5)
79.	Reviews and approves CDC 837s (Incident Package).
80.	Ensures that incident commander collects reports from all staff involved in or
	witnessing an incident.
81.	Oversees and directs staff in protection of the crime scene integrity and the proper
01.	collection of evidence.
82.	Oversees the identification, seizure, and processing of controlled substances to
02.	ensure that evidence is preserved.

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0.2	Gathers and reports information verbally and/or in writing, i.e., documenting		
83.	events, evidence, activities, suspicious or irregular staff behavior, etc.		
84.	Collects information and prepares reports requested by the administration.		
85.	Oversees/conducts investigations of incidents.		
	Conveys to superiors, verbally and in writing, complaints made by staff or inmates		
86.	with recommendations for resolutions.		
07	Ensure the timely completion and processing of appropriate documentation upon		
<b>87.</b>	the occurrence of staff and/or inmate injuries.		
88.	Monitor to ensure compliance with the inmate disciplinary process.		
90	Ensures identification and appropriate reporting of staff, volunteer, and institutional		
89.	visitor misconduct.		
	INMATE WORK TRAINING INCENTIVE PROGRAM (6)		
00	Monitors the application of and adherence to Inmate Work Training Incentive		
90.	Program policies, procedures and activities.		
01	Ensures that work crews have the required number of inmates assigned, are		
91.	properly staffed and supervised, and are work change/gate pass approved.		
	INMATE APPEALS (7)		
92.	Assigns, prepares, and reviews inmate appeals/responses.		
93.	Implementation orders as directed.		
	INMATE DISCIPLINE (8)		
0.4	Oversees/reviews the classification, adjudication, due process compliance, logging		
94.	and auditing of disciplinaries.		
	EMERGENCY OPERATIONS (9)		
	Be familiar with and update emergency procedures, and oversee the training of		
95.	staff relative to their responsibilities during emergency situations.		
	Oversee implementation of staff accountability procedures subsequent to an		
96.	emergency/incident/alarm.		
	Ensures counts are conducted and cleared to account for all inmates after an		
<b>97.</b>	emergency as appropriate.		
98.	Notifies superiors of incidents as soon as possible.		
	Assumes responsibilities within Emergency Operations Center as resources and		
99.	situations dictate.		
DUE PROCESS (10)			
400	Conducts interviews and documents decisions on CDC 114D (Administrative		
100.	Segregation Placement Order).		
101.	Participates on classification committees.		
	STAFF INVESTIGATIONS (11)		
102.	Conducts Category I and II internal affairs investigations.		

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102	Prepares/reviews Letter of Instructions, Employee Counseling Records (CDC Form
103.	1123) and requests investigations for possible adverse action.
104.	Appears at legal proceedings and testifies if required, i.e., court, State Personnel
104.	Board hearing, etc.
105.	Oversees/conducts investigations of citizen complaints against staff members as
	authorized.
	SUPERVISION (12)  Reviews all deily magn and eventions arounditum loss to determine if the
106.	Reviews all daily recap and overtime expenditure logs to determine if the Institution Vacancy Plan is being utilized properly.
107	
107.	Briefs subordinate staff as situations dictate.
108.	Delegate/follow up on work tasks assigned to subordinate staff.
	Oversees the preparation and maintenance of rosters for uniform
109.	custody/medical/food service staff in accordance with institution policy and
	procedure, i.e., post assignments, overtime, vacation, light duty, reasonable accommodation, detached duty, training and development and extended sick leave.
	Listens to, reads, interprets and implements information being assimilated via
110.	superiors, conferences, meetings, staff and inmate communications, reports of unit
1100	activities, etc.
111	Ensure custody, medical and food services PAS reconcile with the governors
111.	budget and position cards.
112.	Ensure the MAR for custody, medical and food services is derived from a
112.	reconciled PAS and only authorized increments of relief are used for each post.
110	Ensures Personnel Assignment Lieutenant, notifies all staff of assignments by
113.	ensuring the weekly distribution of rosters, job change and vacation schedules and
	employee FLSA check-in sheets to the appropriate work areas.  Reads Daily Activity Report and Watch Commander's Logbook to stay informed
114.	of institution activities, etc.
115.	Initiate the hiring process for staff and serve as a member of the hiring panel
116.	Review employee performance reports.
117.	Prepares written employee performance reports.
118.	Maintain accessibility to staff.
119.	Provide oversight and participate in emergency medical response reviews.
120.	Coordinates high risk/special needs transportation teams.
101	Work effectively with individuals of diverse backgrounds, personalities and
121.	professions.
122.	Represents the department when interacting with the public.
123.	Communicates performance expectations to staff verbally and in writing.
124.	Identifies, documents and addresses performance needs in subordinate staff.

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	Recognizes the superior performance of subordinate staff, e.g., makes
125.	recommendations for special assignments, awards and other types of recognition,
	etc.
126.	Participates in meetings and shares information.
127.	Maintains perspective and demeanor in order to de-escalate anxiety and panic in
127.	others.
	Conducts inspections to ensure that subordinate staff is alert and diligent in the
128.	performance of their duties and takes subsequent action to address deficiencies as
	necessary.
129.	Refers staff to the Employee Assistance Program who has personal issues that are
	negatively impacting their work performance.
130.	Takes the initiative to obtain information on important issues and situations and
131.	take appropriate action.  Recognize and take appropriate action to address staff-on-staff conflicts.
131.	INMATE MENTAL HEALTH ISSUES (13)
132.	Ensure that suicide prevention procedures are followed.
132,	
133.	Ensure that inmates displaying unusual behavior are referred to mental health clinicians by subordinate staff when appropriate.
	12 2
134.	Oversees measures implemented to prevent injury by inmates to themselves, staff,
	<ul><li>and property damage.</li><li>Ensures compliance with laws, policies and procedures governing inmates with</li></ul>
135.	disabilities.
	Ensures training, information, and direction is disseminated to staff regarding the
136.	identification of special needs inmates, i.e., mental health,
100.	developmental/physically disability, etc.
425	Closely monitors and directs security operations for Mental Health Services
137.	Delivery System programs within specified areas.
	STAFF/INMATE RELATIONS (14)
138.	Maintains an effective line of communication with the inmate population.
139.	Ensures inmates are treated with fairness and consistency when applying policies
137.	and procedures.
140.	Ensures that mandated services are provided to the inmate population.
141.	Ensures that both inmates and staff adhere to inmate/staff relations policies and
171,	procedures.
	Develops and maintains effective relationships with staff at all levels and
142.	disciplines, inmates and the public to facilitate the flow of information up and
	down the chain of command.
143.	Interviews or otherwise gathers information from inmates regarding conflicts or
	disruptive situations.

Bold Text-indicates not on Classifications Spec.

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144.	Ensures staff take steps to correct identified problems or issues before they escalate into a serious problem.
145.	Communicates with the Men's Advisory Council, Women's Advisory Council, and/or Inmate Advisory Council on institutional regulations, services and information.
146.	Promotes acceptable attitudes and behavior of inmates.
147.	Provides instruction and support to staff in dealing with difficult inmate problems.